

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Shannon Anson.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Shannon Anson*, Case No. 21-005;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on May 19, 2021, the Commission alleged facts in an Initiating Order that Shannon Anson violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d), KRS 11A.020(2), and KRS 11A.040(1), (5), and (10);

WHEREAS, Shannon Anson was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Shannon Anson was charged criminally for the same conduct and pleaded guilty; and

WHEREAS, Shannon Anson entered a plea agreement with federal government in which she agreed to pay \$50,500.00 in criminal restitution; and

WHEREAS, Shannon Anson indicates her desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Shannon Anson agree, pursuant to KRS 11A.100, as follows:

1. Shannon Anson admits that she committed twenty-three (23) Counts in violation of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c) and (d), and KRS 11A.040(1), (5), and (10) as stated in the Appendix A to the Commission's Initiating Order of May 19, 2021, attached hereto and incorporated by reference herein.

2. Shannon Anson agrees to pay the Commission a civil penalty of forty-six thousand dollars (\$46,000.00). This amount is to be offset completely by the criminal restitution to be paid by Ms. Anson.

3. Shannon Anson agrees that if she fails to fulfill the terms of her plea agreement by failing to pay the full amount of her criminal restitution or testify truthfully against the other defendants, she will be liable to the Commission for the agreed upon civil penalty, minus any amounts already paid in criminal restitution.

against the other defendants, she will be liable to the Commission for the agreed upon civil penalty, minus any amounts already paid in criminal restitution.

4. Shannon Anson agrees to refrain from ever seeking again employment with the Executive Branch of the Commonwealth of Kentucky.

5. Shannon Anson agrees that she waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

6. The parties acknowledge that this agreement is being entered prior to Ms. Anson's sentencing following her plea agreement in the criminal matter. The parties agree that Ms. Anson will not be required to pay the agreed upon civil penalty during the period between the entry of this agreement and her sentencing.

7. The parties further agree that the acceptance of this Settlement Agreement and Agreed Final Order by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Shannon Anson*, Case No. 21-005

8. This Settlement Agreement constitutes a public reprimand to Shannon Anson, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:


Shannon Anson

3-19-21
Date


Franklin Jewell, Counsel for Shannon Anson

3-19-21
Date

EXECUTIVE BRANCH ETHICS COMMISSION:


Judge Roger L. Crittenden (Ret.), Chair

5/19/2021
Date

David K. Karem
David K Karem | May 20, 2021 13:17 EDT

Sen. David K. Karem (Ret.), Vice-Chair

May 20, 2021
Date

Crit Luallen
Crit Luallen, Member

5/19/21
Date

Dan J. Venters
Daniel J. Venters (May 20, 2021 14:02 EDT)

May 20, 2021

Justice Daniel J. Venters (Ret.), Member

Date

NOT PRESENT
David S. Samford, Member

Date